

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35.U.S.C. 371

RESS MAIL LABEL NO.

476053962US

DATE

11 August  
1998

ATTORNEY'S DOCKET NO.

A31920 PCT USA

U.S. APPLICATION NO.

09/125122

INTERNATIONAL APPLICATION NO.

PCT/IT97/00040

INTERNATIONAL FILING DATE

27 February 1997

PRIORITY DATE CLAIMED

28 February 1996

TITLE OF INVENTION

PHARMACEUTICAL COMPOSITIONS COMPRISING NATURAL HUMAN  $\alpha$ -INTERFERON

APPLICANT(S) FOR DO/EO/US

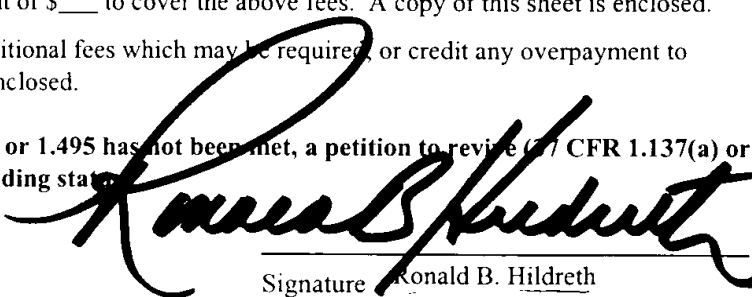
1-20 Giulio Tarro and 2-20 Renato Brozzo

Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - A copy of the specification and claims
  - PCT Demand
  - International Search Report
  - Notification of Transmittal of International Preliminary Examination Report

INTERNATIONAL APPLICATION NO PCT/1997/00040		INTERNATIONAL FILING DATE FEBRUARY 1997		PRIORITY DATE CLAIMED FEBRUARY 1996																																																																							
17. [x] The following fees are submitted:  Basic National Fee (37 CFR 1.492(a)(1)-(5):  Search Report has been prepared by the EPO or JPO ..... \$930.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$720.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$790.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,070.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 98.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> <small>PTO USE ONLY</small>																																																																							
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				Surcharge of \$130.00 for furnishing the oath or declaration later than    20    30 months from the earliest claimed priority date (37 C.F.R. 1.492)(e)).																																																																							
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				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:30%;">Claims</th> <th style="width:10%;">Number Filed</th> <th style="width:10%;">Number Extra</th> <th style="width:10%;">Rate</th> <th style="width:10%;">\$</th> <th style="width:10%;"></th> </tr> <tr> <td>Total Claims</td> <td>8 -20=</td> <td>-0-</td> <td>X \$ 22.00</td> <td>\$-0-</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>3 -3=</td> <td>-0-</td> <td>X \$ 82.00</td> <td>\$-0-</td> <td></td> </tr> <tr> <td colspan="4">Multiple dependent claim(s) (if applicable)</td> <td>+ \$270.00</td> <td>\$ 270.00</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$1200.00</td> <td></td> </tr> <tr> <td colspan="4">Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td> <td>\$1200.00</td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than    20    30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td>\$1200.00</td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></td> <td>\$1200.00</td> <td></td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td style="text-align: right;"><b>Amt. refunded</b></td> <td style="text-align: center;">\$</td> </tr> <tr> <td style="text-align: right;"><b>charged</b></td> <td style="text-align: center;">\$</td> </tr> </table>		Claims	Number Filed	Number Extra	Rate	\$		Total Claims	8 -20=	-0-	X \$ 22.00	\$-0-		Independent Claims	3 -3=	-0-	X \$ 82.00	\$-0-		Multiple dependent claim(s) (if applicable)				+ \$270.00	\$ 270.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1200.00		Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$		<b>SUBTOTAL =</b>				\$1200.00		Processing fee of \$130.00 for furnishing the English translation later than    20    30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$	<b>TOTAL NATIONAL FEE =</b>				\$1200.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$	<b>TOTAL FEES ENCLOSED =</b>				\$1200.00					
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a. [x] A check in the amount of \$ <u>1200.00</u> to cover the above fees is enclosed. b. [] Please charge our Deposit Account No. <u>02-4377</u> in amount of \$_____ to cover the above fees. A copy of this sheet is enclosed. c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4377</u> . A copy of this sheet is enclosed.																																																																											
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																																											
SEND ALL CORRESPONDENCE TO:  <b>BAKER &amp; BOTTS, L.L.P.</b> <u>30 Rockefeller Plaza</u> <u>New York, New York 10112-0228</u>																																																																											
				 Signature <u>Ronald B. Hildreth</u>																																																																							
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